## Machine Learning Techniques for Neuroscience Tutorial for Cog. Comp. Neuroscience Summer School 2023

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# Machine learning in/for/from/and neurocience

Today's overview

- O Modern machine learning techniques
- Applications of machine learning for neuroscience
- Neuroscience inspirations for machine learning (on very high level)

# Modern machine learning godview

An (almost) universal description for machine learning:

 $\min_{f \in \mathcal{M}} \mathcal{L}_{\mathsf{tr}}(f, \mathcal{D}_{\mathsf{tr}}) \quad \text{so that} \quad \mathcal{L}_{\mathsf{eval}}(f, \mathcal{D}_{\mathsf{eval}}) \text{ is small}, \quad \text{where } \mathcal{D}_{\mathsf{tr}}, \mathcal{D}_{\mathsf{eval}} \sim \mathcal{S}$ 

- f: a model or a function
- $\mathcal{M}$ : the class of model

#### Categorisation of different approaches:

By goal f and data  $\mathcal{D}_{\mathsf{tr}}$ 

- Supervised  $f : \mathcal{X} \to \mathcal{Y}, \ \mathcal{D} = \{x_i, y_i\}$
- Unsupervised / self-supervised  $f : \mathcal{X} \to \mathcal{Z}, \ \mathcal{D} = \{x_i\}$
- Reinforcement  $f : \mathcal{X} \to \mathcal{A},$  $\mathcal{D}_{tr}$  collected from f

- S: task paradigm
- D<sub>tr</sub> and D<sub>eval</sub>: training and evaluation datasets

- $\mathcal{L}_{tr}$ : training objective
- $\mathcal{L}_{eval}$ : is final evaluation criterion

- By model space,  $\mathcal{M}$ 
  - Parametric models: polynomials, splines, radial basis
  - Nonparametric models: k-NN, decision tree, kernel methods,
  - Neural networks: CNN, RNN, GNN transformers...

- By task paradigm  $\mathcal{S}$ 
  - Multiple objectives
  - Transfer / causal learning
  - Online / continual / active learning
  - Meta-learning

#### Related fields: mathematics, optimization, engineering, statistics, domain knowledges

#### Problem setup

# Supervised learning

### Recall image classification

Dataset  $\mathcal{D}_{tr} := \{x_i, y_i\}_1^N$  where  $x_i \in \mathcal{X} := \mathbb{R}_+^{W \times h \times c}$  is a vector of image pixels,  $y_i \in \mathcal{Y} := \mathbb{1}_K$ 



# Supervised learning with neural networks

### Supervised learning can solve the following problems

	image cls.	speech recog.	translation	gait recog.	image seg.	scene parsing
$\mathcal{X}$	$\mathbb{R}^{w  imes h  imes c}_+$	$\mathbb{R}^{t}$	$\mathbb{1}_{K}^{L}$	$\mathbb{R}^{T \times n \times 3}$	$\mathbb{R}^{w  imes h  imes c}_+$	$\mathbb{R}^{w  imes h  imes c}_+$
$\mathcal{Y}$	$\Delta_{K}$	$\Delta_V^ au$	$\Delta_V^{ au}$	$\Delta_{K}$	$\Delta_{K}^{w  imes h  imes c}$	$ig\{\Delta_{\mathcal{K}},\mathbb{N}^{4}ig\}_{m=1}^{M}$

- Machine supervised learning is a trivial problem to some. But is it?
- Most deep learning techniques and tricks are discovered through supervised learning
- Becoming a test bed for benchmarking theory and techniques (e.g. tricks)

Problem setup

# Key (overlapping) ingredients in machine learning



# Applications to neuroscience: models of vision

Supervised deep models show similarities to primate visual ventral stream (Yamins et al., 2014)



# Applications to visual perceptual learning

Supervised training replicates findings in visual plasticity on different analysis levels (Wenliang & Seitz, 2018)



1.0

transfer accuracy

#### Setup

# Unsupervised learning

**Goal:** discover *useful* representation of complex data for downstream tasks **Quantifiable metrics**  $\mathcal{L}_{eval}$ : outlier detection, generative quality, compression, transfer tasks, etc.

	clustering	dim. reduction	manifold	representation	generation	
X	$\mathbb{R}^{n}$	$\mathbb{R}^{n}$	$\mathbb{R}^{n}$	$\mathbb{R}^{n}$	$\mathbb{R}^{n}$	
Z	$\mathbb{1}_m$ or $\Delta_m$	$\mathbb{R}^m, m < n$	$\mathbb{S}^m$ , trees, etc.	$\mathbb{R}^{m}$	$\mathbb{R}^{m}$	
$\mathcal{L}_{tr}$	distances	distances reconstruction		density	distributional	
	density		+ prior	+ coarse labels	metrics,	
					denoising	
$\mathcal{L}_{eval}$	visualisation,	reconstruction	interpolation	classification	sample quality	
	classification,	denoising	homology	generation	inpainting	
	outlier		generation		interpolation	
	detection					

#### Setup

# Deep learning methods for unsupervised learning

We briefly review the objectives and intuitions of the following approaches

- Variational autoencoders (VAE)
- Generative adversarial networks 2
- Constractive pre-training 3

### Latent variable model

#### Definition

Given dataset  $\mathcal{D} := \{x_i\}_{i=1}^N$ , a latent variable models (LVM) posits that each data point  $x_i \in \mathcal{X}$  is generated from a latent variable  $z_i \in \mathcal{Z}$  through a model parametrised by  $\theta$ 

$$z_i \stackrel{\theta}{\longrightarrow} x_i$$

#### Example

Linear model: data generated by a linear mapping  $G \in \mathbb{R}^{d \times k}$ , where k < d

$$x_i = Gz_i + \epsilon_i$$

Interpretation of latent variable models:

- z<sub>i</sub> is **specific** to each data instance x<sub>i</sub>
- $\theta$  captures **overall** patterns for the whole dataset
- alternatively,  $z_i$  is a **local** parameter for  $x_i$ , and  $\theta$  is a **global** variable for  $\mathcal{D}$ .

# Generative latent variable model

To let the  $z_i$  be controllabe/interpretable, we place a prior  $p_{\theta}(z)$ 

### Example

Prior p(z) can be

$\mathcal{N}(0,1)$	Laplace	uniform circular	Bernoulli	hyperbolic	Markov chain
common choice	sparsity priors	rotation-symmetry	discrete	hierarchical	time-series

Likewise, we can specify a flexible and learnable mapping  $G:\mathcal{Z} 
ightarrow \mathcal{X}$ 

#### Example

The likelihood p(x|z) can be

$x = Az + \epsilon$	$x = G_{ heta}(z) + \epsilon$	$z_0 \rightarrow h_1, z_1 \rightarrow \cdots \rightarrow x$	$z, y \rightarrow x$
linear + noise	nonlinear + noise	hierarchical	conditional

The joint distribution  $p_{\theta}(x, y) = p_{\theta}(z)p_{\theta}(x|z)$  induces a posterior p(z|x) through Bayes rule.

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### Generative model: applications to cognitive science



### Generative model: applications to perception



Ernst & Bank, 2002

### motion illusion



Weiss et al, 2005

# continuity illusions





#### Illusory texture

McWalter & McDermott,

2019

### visual prior



#### Houlsby, et al, 2013

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# The variational autoencoder (VAE) and other variants







The variational autoencoder trains the likelihood  $p_{\theta}(x|z)$  and an encoder q(z|x) jointly

$$\mathcal{L}_{\mathsf{tr}}(\theta, q; x) := \underbrace{\mathbb{E}_{z \sim q(z|x)} \left[ \log p_{\theta}(x|z) \right]}_{\mathsf{expected recon. loss}} - \underbrace{\mathbb{D} \left[ q(z|x) || p(z) \right]}_{\mathsf{prior constraint}}$$

where  $\mathbb{D}$  is come distributional distances.

- deterministic q(z|x) and zero  $\mathbb{D} \implies$  conventional nonlinear autoencoder
- Gaussian p(z),  $p_{\theta}(x|z)$  and q(z|x),  $\mathbb{D} = \mathbb{KL} \implies \mathsf{VAE} \ \mathcal{L}_{\mathsf{tr}}(\theta; x) \leq \log p_{\theta}(x)$  (Kingma & Welling, 2014; Rezende et al. 2014)
- Gaussian p(z), deterministic  $p_{\theta}(x|z)$  and q(z|x),  $\mathbb{D}$  is  $\mathcal{W}_2 \implies$  Wasserstein AE (Tolstikhin et al. 2017)
- $\mathbb{D} = \beta \mathbb{KL} \implies$  beta-VAE (Higgins et al. 2017)
- discrete q(z|x) and vector-quantization loss  $\mathbb{D} \implies$  VQ-VAE (Oord et al., 2018)
- Separate network q(z|x) trained by sample from  $p(z, x) \implies$  Helmholtz machine and wake-sleep algorithm (Dayan et al., 1994, Hinton et al., 1995)
- Implicit q(z|x) by nonlinear moments  $\Rightarrow$  biologically plausible training (Vertex & Sahani 2018, Wenliang & Sahani 2019)

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# VAE: applications to neural data analysis



Han et al., 2019

## Wake-sleep algorithms



Wenliang et al., 2020

training HH models with kernel

## Implicit models

#### Definitions

Implicit generative model defines a prior p(z) and a deterministic mapping  $G_{\theta} : \mathbb{Z} \to \mathcal{X}$ .

The only randomness is in the prior: a latent z maps directly to x, no additional noise.

#### Example

Differential eqns: Wilson-Cowan, Hodgkin-Huxley models and attractor models.

**Technicality:** the generative distribution may be supported on a lower-dimensional subspace. The likelihood of  $p_{\theta}(x)$  may be ill-defined for a given data point x.

# Optimising distributional distances

Fitting a generative distribution requires a distributional distance

• Maximising the log-likelihood is equivalent to minimising the Kullback-Leibler divergence

$$\mathbb{KL}\left[q\|p\right] = \int q(x) \log \frac{q(x)}{p(x)} \mathrm{d}x = \int q(x) \log q(x) \mathrm{d}x - \int q(x) \log p(x) \mathrm{d}x$$

• The first version of GAN (Goodfellow, 2014) optimises the Jensen-Shannon divergence

$$\mathbb{JS}\left[q\|\rho\right] = \frac{1}{2}\mathbb{KL}\left[q\|\frac{1}{2}\left(\rho+q\right)\right] + \frac{1}{2}\mathbb{KL}\left[\rho\|\frac{1}{2}\left(\rho+q\right)\right]$$

• Later GANs optimises other objectives: MMD-GAN, Cramer-GAN, optimal transport GAN, Wasserstein GAN, f-divergence GAN, etc.





# GAN for neuroscience

GANs have not made much applications in neuroscience...



Palazzo et al., 2017



Xu, Wenliang et al., 2020

Gershman 2019

# Contrastive self-supervised learning

### Can we just learn representation without generating the data?

Contrastive learning (SimCLR, Chen et al., 2019) obtains features invariant to all irrelevant transformations of data.



(a) Global and local views.

(b) Adjacent views.





- Sample transformations  $t,t'\sim \mathcal{T}$
- For each  $x \in D$ , obtain two transformed images  $x_i = t(x)$  and  $x_j = t'(x)$
- then transform through a DNN to obtain representations  $z_i = h(x_i)$  and  $z_j = h(x_j)$
- For *m* data points, compute similarity  $s_{ij} := \rho(z_i, z_j)$  from one image *x*, also similarities from different images  $s_{ik}$
- Minimise the contrastive loss  $\mathcal{L}_{tr}(x_i) := \frac{1}{2m} \sum_{i=1}^m \ell(x_i, x_j) + \ell(x_j, x_i)$  where

$$\ell(x_i, x_j) = -\log rac{\exp\left(s_{ij}/ au
ight)}{\sum_{k 
eq j} \exp\left(s_{ik}/ au
ight)}$$

 $\bullet~$  Test on other losses  $\mathcal{L}_{eval},$  such as classification

## Self-supervised learning: application to neuroscience

Self-supervised models can transfer to other tasks and predict neural activities (Zhuang et al., 2021)



Problems: self-supervised learning usually requires HUGE dataset and compute power.

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# Augment and train, not much thinking

#### Supervised learning can solve the following problems

	image cls.	speech recog.	translation	gait recog.	image seg.	scene parsing
$\mathcal{X}$	$\mathbb{R}^{w  imes h  imes c}_+$	$\mathbb{R}^{t}$	$\mathbb{1}_{K}^{L}$	$\mathbb{R}^{T  imes n  imes 3}$	$\mathbb{R}^{w  imes h  imes c}_+$	$\mathbb{R}^{w  imes h  imes c}_+$
$\mathcal{Y}$	$\Delta_K$	$\Delta_V^{ au}$	$\Delta_V^{ au}$	$\Delta_{K}$	$\Delta_{K}^{w  imes h  imes c}$	$\left\{\Delta_{\mathcal{K}},\mathbb{N}^{4} ight\}_{m=1}^{M}$

- Modify these to be self-supervised learning.
- Are there more principled methods to introduce augmentation?
- Can we enumerate all possible augmentations?

# Deep reinforcement learning

### Definition

A Markov decision process (MDP) is given by the tuple  $(S, A, \mathcal{R}, P_{\mathcal{X}}, P_{\mathcal{R}}, \gamma)$ , consisting an environment with transition dynamics  $P_{\mathcal{X}}(s'|s, a)$  and reward distribution  $P_{\mathcal{R}}(r|s, a)$  for  $s, s' \in S$ ,  $a \in A$  and  $r \in \mathcal{R}$ , discounting factor  $\gamma > 0$ .

Broadly categorised into three approaches

- Valued-based
  - model-free/model-based
  - offline RL (similar to supervised learning)
  - distributional RL
- Actor-critic
- Policy-based
  - REINFORCE
  - Deterministic policy gradient

# Valued-based RL

**Goal: estimate the value function**  $Q^{\pi} : \mathcal{X} \times \mathcal{A} \to \mathbb{R}$  given a policy  $\pi$ For each transition  $s' \sim P_{\mathcal{X}}(\cdot|s, a)$  and reward  $r \sim P_{\mathcal{R}}(\cdot|s, a)$ 

• Simple Q-learning in a tabular environment:

$$Q^{\pi}(s, a) \leftarrow Q^{\pi}(s, a) + \alpha \left[ r + \gamma \max_{a^*} Q^{\pi}(s', a^*) - Q^{\pi}(s, a) \right]$$

• Deep Q Network (DQN, Mnih et al., 2015) constructs a neural network  $Q_{\theta}(s, a)$ 

$$\theta \stackrel{\mathsf{sgd}}{\leftarrow} \frac{\partial}{\partial \theta} \left( r + \gamma \max_{a^*} Q_{\mathsf{sg}(\theta)}(s', a^*) - Q_{\theta}(s, a) \right)^2$$

where sg is stop-gradient operator (".detach()" in PyTorch). The Q-values are used to derive a policy:  $\epsilon$ -greedy, softmax, etc.

Important tricks to make training data more i.i.d.:

- replay buffer: the transitions are accumulated into a replay buffer (biologically inspired?)
- offline RL: maintain a behavioural network and a target network, occasionaly copy

### Results on Atari



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### Distributional RL

**Goal: estimate the return distribution**  $\eta^{\pi} : \mathcal{X} \times \mathcal{A} \to \mathcal{P}_{\mathbb{R}}$  given a policy Instead of finding  $Q(s, a) := \mathbb{E}_{\pi} [G(s, a)]$  for  $G(s, a) := \sum_{t=1}^{\infty} \gamma^{t} R_{t}$ , dist. RL estimates the distribution

 $\eta^{\pi}(s, a) := \text{distribution}(G(s, a))$ 

- Distributional versions of Bellman update (Bellemare, Dabney & Rowland, 2023)
- Requires a form of distributional representation (e.g. histogram, quantiles)
- Biological evidence of dopamine neurons signaling (Dabney et al., 2020)



# The field is exploding...

Classical learning paradigms are losing attention from research as industries begin to prevail. Forefront of machine learning is addressing more challenging and diverse set of learning problems.

- Theory
- Meta-learning
- Approximating complex physical systems (differential equations)
- Learning from human feedback

The following slides are just a brief taste of how much is going on...

Categories *		English *	Google Scholar						
	Publication h5-index		h5-median	redian Top publications					
1.	Nature	444	667	11. JAMA <u>267</u>	425				
2.	The New England Journal of Medicine	432	780	12. Chemical Reviews 265	444				
3.	Science	401	614	13. Proceedings of the National Academy of Sciences 256	364				
4.	IEEE/CVF Conference on Computer Vision and Pattern Recognition	389	627	14. Angewandte Chemie 245	332				
5.	The Lancet	354	635	15. Chemical Society Reviews 244	386				
6.	Advanced Materials	312	418	16. Journal of the American Chemical Society 242	344				
7.	Nature Communications	307	428	17. IEEE/CVF International Conference on Computer Vision 239	415				
8.	Cell	300	505	18. Nucleic Acids Research 238	550				
9.	International Conference on Learning Representations	286	533	19. International Conference on Machine Learning 237	421				
10.	Neural Information Processing Systems	278	436	20. Nature Medicine 235	389				

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## Theory: linear deep networks

Linear deep networks  $y = W_L W_{L-1} \cdots W_1 x$ 

- no more representation power than a single layer  $y = \left| \prod_{l=1}^{L} W_l \right|$
- show nonlinear dynamics
  - related to cognitive development of percpetual and semantic learning



# Theory: neural networks and the Chompsky hierarchy

Task: compare performance of different neural architectures on tasks of the Chompsky hierarchy (Delétang et al., 2022)

Lovel Toek

 $\min_{f \in \mathsf{RNN} \ \mathsf{class}} \mathcal{L}_{\mathsf{tr}}(f; x_{1:100}, y_{1:100})$ 

test on  $\mathcal{L}_{tr}(f; x_{1:500}, y_{1:500})$ 

TRADE

	1.0000	Tubk		Differ In the	Jube-Julii	riunsiormer	100101
	R	Even Pairs Modular Arithmetic (Simple) Parity Check <sup>†</sup> Cycle Navigation <sup>†</sup>	100.0 100.0 100.0 100.0	100.0 100.0 100.0 100.0	100.0 100.0 100.0 100.0	<b>96.4</b> 24.2 52.0 61.9	100.0 100.0 100.0 100.0
recursively enumerable infinite tape context-sensitive Tape-RNN linear tape	DCF	Stack Manipulation Reverse String Modular Arithmetic Solve Equation°	56.0 62.0 41.3 51.0	<b>100.0</b> <b>100.0</b> <b>96.1</b> 56.2	<b>100.0</b> <b>100.0</b> <b>95.4</b> 64.4	57.5 62.3 32.5 25.7	59.1 60.9 59.2 67.8
deterministic context free Stack kniv Pregular INN INN INN INN INN INN INN INN INN IN	CS	Duplicate String Missing Duplicate Odds First Binary Addition Binary Multiplication <sup>×</sup> Compute Sqrt Bucket Sqrt*	50.3 52.3 51.0 50.3 50.0 54.3 27.9	52.8 55.2 51.9 52.7 52.7 56.5 78.1	100.0 100.0 100.0 58.5 57.8 70.7	52.8 56.4 52.8 54.3 52.2 52.4 <b>91.9</b>	57.6 54.3 55.6 55.5 53.1 57.5 <b>99.3</b>

Stock-DNN Tone-DNN Transformer I CTM

### Meta-learning

Goal: learning to learn, finding an learning algorithm from data From a sequence of tasks/datasets  $\mathcal{D}_{tr}^{(1)}, \cdots \mathcal{D}_{tr}^{(n)} \sim S$ 

$$\min \mathcal{L}_{\mathsf{tr}}(f, \mathcal{D}_{\mathsf{tr}}^{(1)}, \cdots \mathcal{D}_{\mathsf{tr}}^{(n-1)}) \quad \text{so that} \quad \mathcal{L}_{\mathsf{eval}}(f, \mathcal{D}_{\mathsf{tr}}^{(n)}) \text{ is small}.$$

**Weight-based**: find *f* that can adapt

Memory/Activity-based: activity encodes task

Wang et al., 2018

Low-rank weights + memory

Dezfouli et al. 2019









## Learning complex dynamical systems

### Traditional approach: simulate large-scale differential equations The deep approach: throw in data (+tricks, inductive biases, etc.) and just train...



# Learning from human preferences

Large language models (LLMs) require a large amount of expert inputs

Different ways of improving a trained LLM

- prompt engineering / in-context learning
- self-improvement with external tools
- weight finetuning



# Concluding remarks

 $\min_{f \in \mathcal{M}} \mathcal{L}_{\mathsf{tr}}(f, \mathcal{D}_{\mathsf{tr}}) \quad \text{so that} \quad \mathcal{L}_{\mathsf{eval}}(f, \mathcal{D}_{\mathsf{eval}}) \text{ is small}, \quad \text{where } \mathcal{D}_{\mathsf{tr}}, \mathcal{D}_{\mathsf{eval}} \sim \mathcal{S}$ 

Deep learning is the main workhorse for tech industry and aid for scientifc advances.

- Traditional boundaries between forms of learning are getting blurred
- Being smart is sometimes less important having interesting ideas (designing  $\mathcal{L}_{tr}$  and  $\mathcal{S}$ )
  - Transforming learning problems into data engineering
  - Thinking about natural cognitive abilities is helpful for generating ideas
  - Unclear how implementation level knowledge directly and exclusively drive deep learning
  - More tricks to be discovered
  - Theory of learning is important but have not generated big leaps
  - Imagination is the only limit

• If you want to do research, you must have a deep learning plan.